

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Costa  
First Name: Erminio  
Title / Rank: Director  
College: Psychiatry  
Dept. / Unit: Psychiatric Institute  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

E. Costa

Date 9-23-2008

**Please submit to your unit head for administrative review and approval.**

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

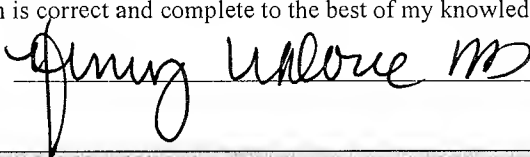


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

## Administrative Review and Approval, UIC RNUA 2008-2009

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B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

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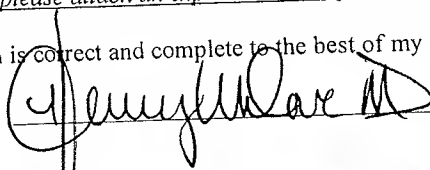
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The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Dove  
First Name: HENRY  
Title / Rank: Professor of Clin.  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Henry W Dove MD

Date 10/27/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

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- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

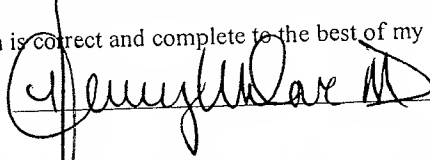
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B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: ZHANG

First Name: HUAIBO

Title / Rank: Research Assistant professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment \_\_\_\_%

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
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☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature [Signature]

Date 10/2/08

**Please submit to your unit head for administrative review and approval.**

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A. Based on the activity reported and to the best of my knowledge and in my judgment:



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A conflict of interest or commitment may exist, but is being monitored by the department.

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B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



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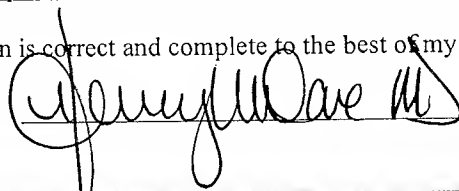


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Wollwage  
First Name: Seth  
Title / Rank: Faculty/Staff  
College: MEDICINE  
Dept. / Unit: Behavioral Sciences  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
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## PART II. Listing of Non-University Income Producing Activities

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☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

10/22/08

Please submit to your unit head for administrative review and approval.

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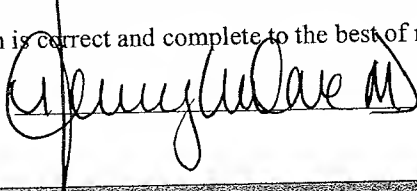
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Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Winer  
First Name: Jerome  
Title / Rank: Emeritus Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 31%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
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Academic Staff Member's Signature

Jerome A. Winer MD

Date

9-22-08

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

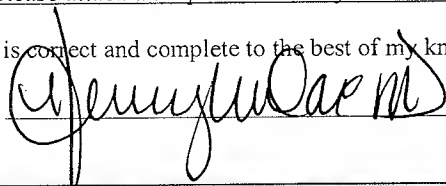
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Whitfield  
First Name: Harvey J.  
Title / Rank: Associate Professor  
of Psychiatry  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 52%  
University Contract Period<sup>1</sup> Indefinite  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

N/A

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Harvey J. Whitfield

Date

09/25/2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

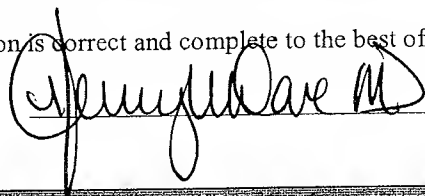


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/2/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: West  
First Name: Amy  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period
none	none	none	none	none

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Amy E. West*

Date 10/7/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

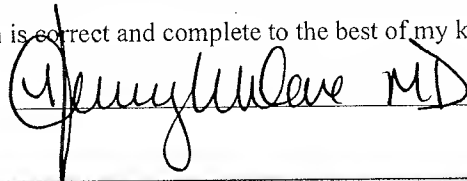


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: WALTON

First Name: Laura

Title / Rank: Coordinator

College: Medicine

Dept. / Unit: Psychiatry/ISR

Appointment 100 %

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Laura L Walton Date 9-22-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

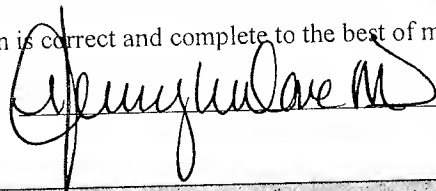


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff

**2008-2009 Report of  
Non-University Activities (RNA)**

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Wakschlag

First Name: Lauren

Title / Rank: Associate Professor/Psychiatry

College: Medicine

Dept. / Unit: Psychiatry/IJR

Appointment 100%

University Contract Period<sup>1</sup>

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no

2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no

3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no

4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

## PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Lauren Wakschlag*

Date

*9-22-08*

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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### **Administrative Review and Approval, UIC RNUA 2008-2009**

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#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

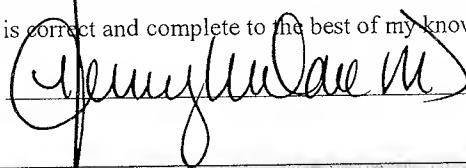
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Tueting  
First Name: Patricia  
Title / Rank: Research Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 51 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Patricia Tueting Date 9/23/08  
Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

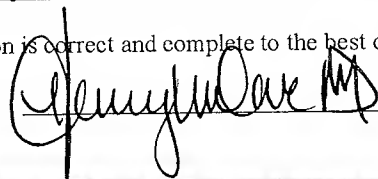


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNA)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Thompson-Berry  
First Name: Cynthia  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 70 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Cynthia Thompson-Berry*

Date 9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Skowronski  
First Name: Francesca  
Title / Rank: Visiting Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Francesca Skowronski Date 9/30/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☒ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

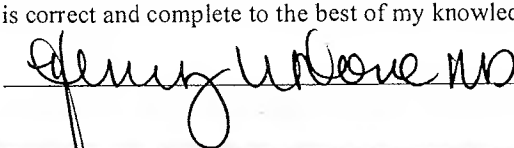
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Eiger

First Name: Rodney

Title / Rank: Asst. Professor, Clinical Psychiatry

College: Medicine

Dept. / Unit: Psychiatry

Appointment 25%

University Contract Period<sup>1</sup>

☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no

2. Do you or does any member of your family have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no

3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? *I'm full-time at the Pessabrown VAMC* ☒ yes\* ☐ no

4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*R. Eiger*

Date

*10/23/08*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-1.3) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 1.2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: <http://www.chic.edu/finconflict.html>

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

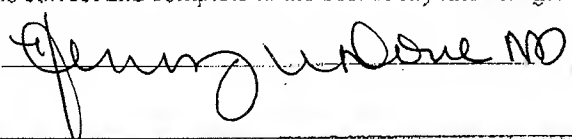


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

 Date 10/27/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Ghadiali  
First Name: Nafisa  
Title / Rank: Assistant Professor of  
College: Clinical Psychiatry  
Dept. / Unit: Psychiatry  
Appointment 20 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*[Signature]*

Date

9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500.50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7.12% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.apa.uillinois.edu/policies/conflict\\_toc.asp](http://www.apa.uillinois.edu/policies/conflict_toc.asp)

### Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

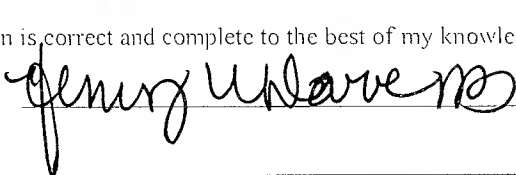
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

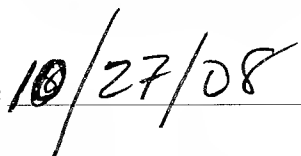
- ☐ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date



#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Guidotti  
First Name: Alessandro  
Title / Rank: Scientific Director  
College: Psychiatry  
Dept. / Unit: Psychiatric Institute  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

9/23/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

*Henry W. Dore MD*

Date

*10/27/08*

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

\_\_\_\_\_

Date

\_\_\_\_\_

Additional Reviews  
(Signatures)

\_\_\_\_\_

Date

\_\_\_\_\_

Date

\_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNUA)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Logan  
First Name: Stephen  
Title / Rank: Research Assistant Professor  
College: Medical College  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Stephen M Logan

Date

Oct. 23<sup>rd</sup>, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

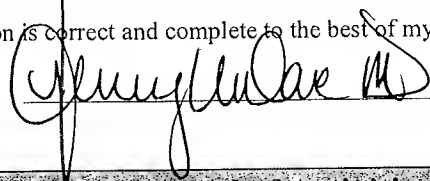


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Manev  
First Name: Radmila  
Title / Rank: Associate Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff-Member's Signature: Radmila D. Manev

Date

9/24/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

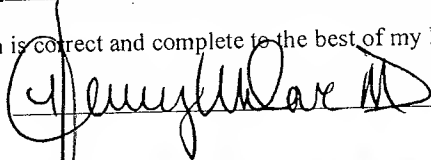


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Mason  
First Name: Sally  
Title / Rank: Associate Clinical Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature [Signature]

Date 9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

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### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

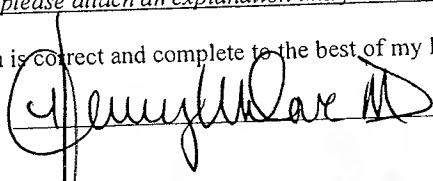
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Massie  
First Name: Elise  
Title / Rank: Assistant Professor  
College: Medical Center  
Dept. / Unit: Psychiatry  
Appointment 60%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Elise Massie PhD

Date

9/30/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

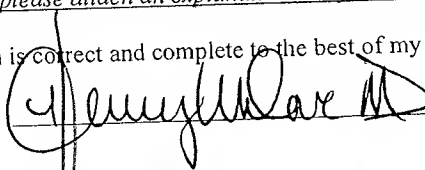
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Miller  
First Name: Laura  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 85%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

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- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Laura Miller

Date 9/19/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

2008 - 2009

### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

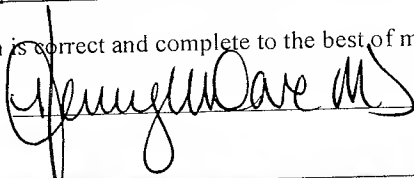
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Nand

First Name: Surinder

Title / Rank: Professor of Clinical Psychiatry

College: Medicine

Dept. / Unit: Psychiatry

Appointment 12 %

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

*Please attach an explanatory statement for all "yes" responses.*

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Surinder Nand

Date

Oct 1, 2008

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

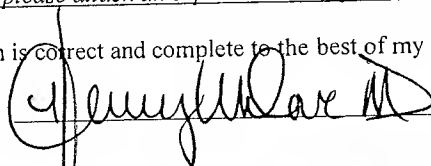


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Nyenhuis  
First Name: David  
Title / Rank: Assoc. Professor  
College: Medicine  
Dept. / Unit: Neurology / Psychiatry  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☒ 9 months / ☐ 10 months / ☐ 12 months / ☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

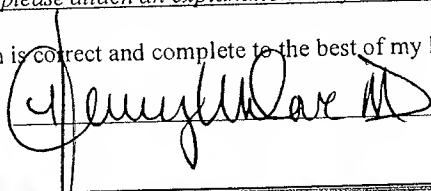
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Owley  
First Name: Thomas  
Title / Rank: MD  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment: 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

[Signature]

Date

9-22-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## **Administrative Review and Approval, UIC RNUA 2008-2009**

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### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

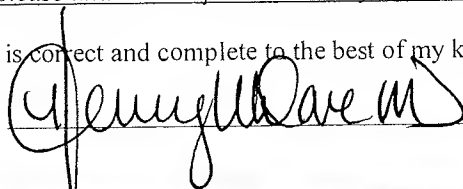


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: P A N D E Y  
First Name: Ghanshyam  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

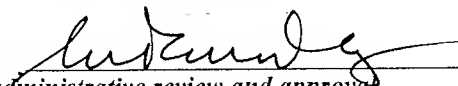
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date 9/24/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

☒ No conflict of interest or commitment exists.

☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

☐ Agree

☐ Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

☒ No retrospective activities are reported or all retrospective activities are approved.

☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

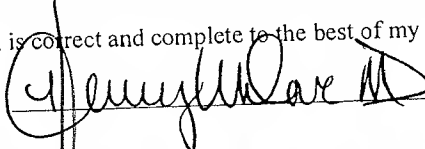
B. Prospective Activities (2008-2009)

☒ No prospective activities are reported or all prospective activities are approved.

☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: passarotti

First Name: alessandra

Title / Rank: assistant professor

College: medicine

Dept. / Unit: psychiatry

Appointment 100%

University Contract Period<sup>1</sup>

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Alessandra Passarotti

Date 10/03/2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:

PAVKOVIC

First Name:

IVAN

Title / Rank:

ASSOCIATE CLIN. PROF.

College:

MEDICINE

Dept. / Unit:

PSYCHIATRY

Appointment 20 %

University Contract Period<sup>1</sup>
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

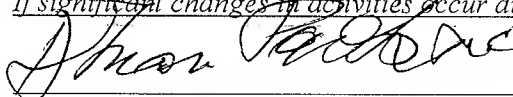
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

10.23.08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

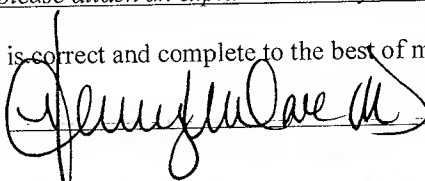


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pavuluri  
First Name: Mani  
Title / Rank: Associate Professor  
College: UIC  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

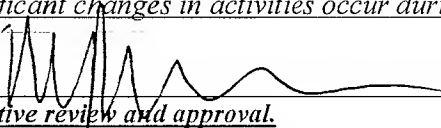
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period
X	X	X	X	X

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

Oct 1-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

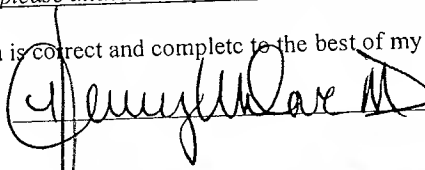
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pinna  
First Name: Graziano  
Title / Rank: Res. Asst Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period
NA				

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

Sep 30, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

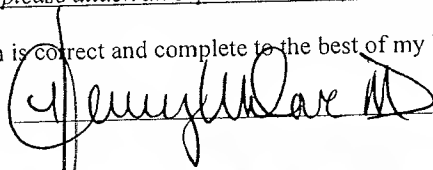


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNA)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: PIREC  
First Name: VESNA  
Title / Rank: ASSIST. PROFESSOR  
College: MEDICINE  
Dept. / Unit: PSYCHIATRY  
Appointment 51 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Vesna Pirec

Date

9-20-08

**Please submit to your unit head for administrative review and approval.**

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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### Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

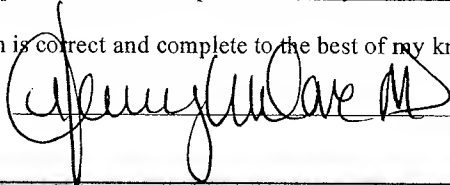


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature

(If approval needed)

Date

Additional Reviews

(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pournajafi-Nazarloo  
First Name: Hossein  
Title / Rank: Research Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*H. Pournajafi-Nazarloo*

Date 09.30.08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

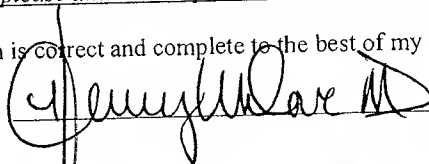


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Prensky  
First Name: Eric  
Title / Rank: Asst Prof of Clinical Psychology  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*Eric Prensky, PhD*

Date

*9/19/08*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV: Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V: Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

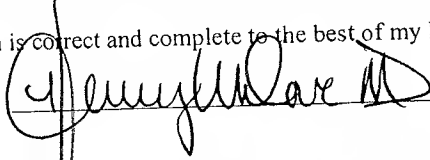
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI: Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Qu  
First Name: Tingyu  
Title / Rank: Research Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

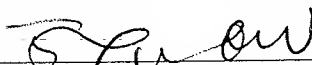
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

09/29/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

☒ No conflict of interest or commitment exists.

☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

☐ Agree

☐ Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

☒ No retrospective activities are reported or all retrospective activities are approved.

☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

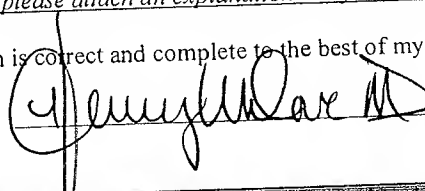
B. Prospective Activities (2008-2009)

☒ No prospective activities are reported or all prospective activities are approved.

☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNAU)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Reilly  
First Name: James L  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature James L Reilly Date 9/23/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

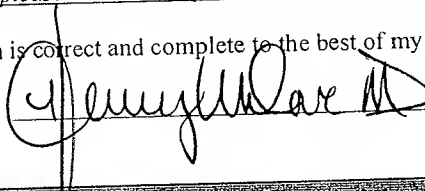
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: RICHMAN  
First Name: JUDITH  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Judith Richman, Ph.D. Date Sept 23, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

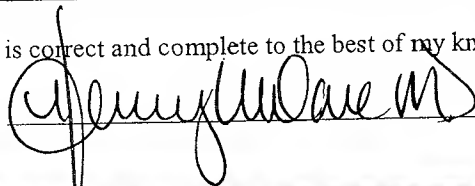


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Rospenda  
First Name: Kathleen  
Title / Rank: Associate Professor of Psychology  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Kathleen Rospenda

Date

9/19/08

**Please submit to your unit head for administrative review and approval.**

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

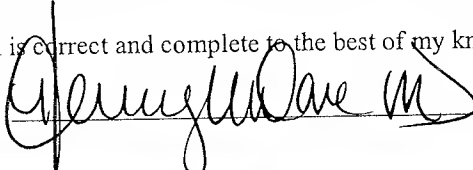
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNAU)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Rosen  
First Name: Cherise  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Cherise Rosen

Date

22 September 08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

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University of Illinois at Chicago  
Academic Staff

2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Salt

First Name: Jeff

Title / Rank: Asst Prf

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period<sup>1</sup>

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

J Salt

Date

10/1/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

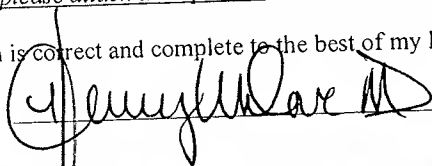
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: sawa  
First Name: marcia  
Title / Rank: asst professor  
College: medicine  
Dept. / Unit: Dept of Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*[Signature]*

Date

10/3/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

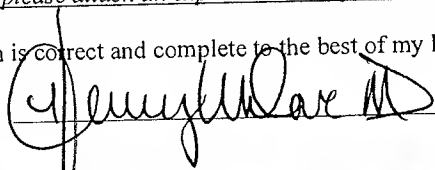


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Schmid  
First Name: Sabine  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period
n/a				

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Sabine Schmid

Date

9/19/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

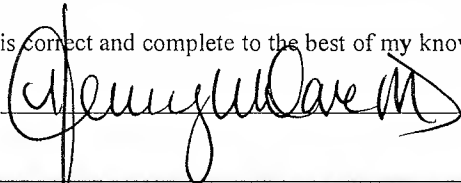


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/15/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Schrift  
First Name: Michael  
Title / Rank: \_\_\_\_\_  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature [Signature]

Date 9/19/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

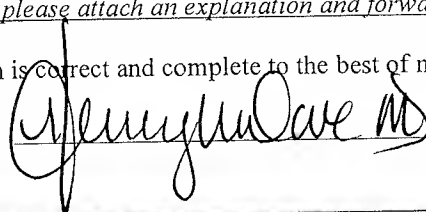


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Scott  
First Name: Nelda  
Title / Rank: Assistant Prof Clinic Psychiatry  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 68 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Nelda Scott

Date

9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7.12% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at [http://www.apaa.uillinois.edu/policies-conflict\\_toc.asp](http://www.apaa.uillinois.edu/policies-conflict_toc.asp)

### Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

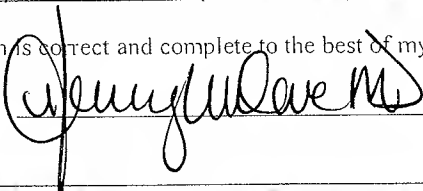
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Sharma  
First Name: Rajiv  
Title / Rank: Professor  
College: College of Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date 09/30/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

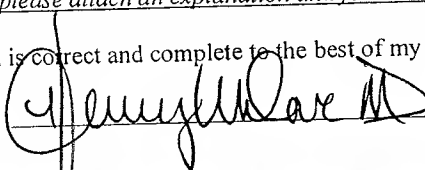
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Connolly  
First Name: Sucheta  
Title / Rank: Associate Professor of Clinical Psychiatry  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Sucheta Connolly MD

Date

9/30/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

☒

No conflict of interest or commitment exists.

☐

A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

☐

A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

☐

Agree

☐

Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

☒

No retrospective activities are reported or all retrospective activities are approved.

☐

Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

☒

No prospective activities are reported or all prospective activities are approved.

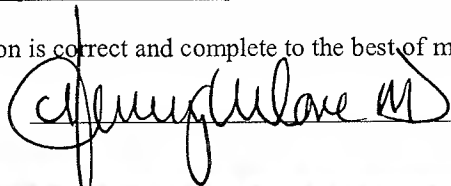
☐

Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Danis  
First Name: Barbara  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry IJR  
Appointment 80 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

B. A. O.

Date 9.22.08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## **Administrative Review and Approval, UIC RNUA 2008-2009**

*(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).*

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

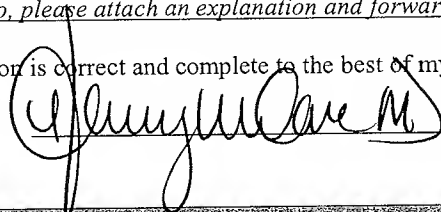


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Davidson  
First Name: Christine  
Title / Rank: Assoc Prof Clinical Psychology  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Christine V. Davidson Date 9/29/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

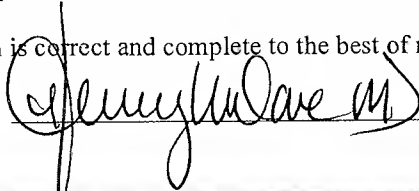
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Davidson  
First Name: Ronald  
Title / Rank: Asst Prof Clinical Psychology  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

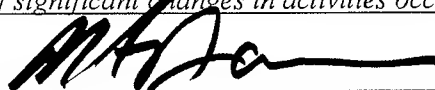
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

7/29/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

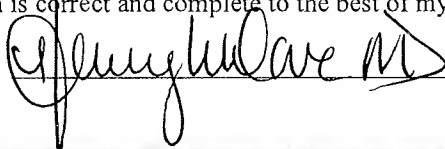
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DESAI  
First Name: PRAKASH  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date 10/1/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

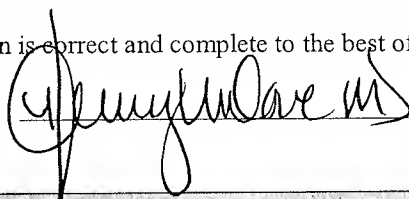
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: De Leon

First Name: Ovidio

Title / Rank: Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period<sup>1</sup>

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*Ovidio De Leon*

Date

*September 23 2008*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
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- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

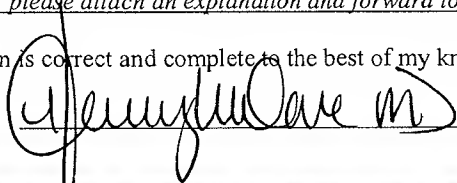
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*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNA)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Dong  
First Name: Erbo  
Title / Rank: \_\_\_\_\_  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
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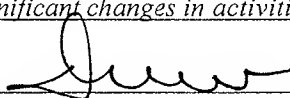
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period
N/A				

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

Sept 30, 2008

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

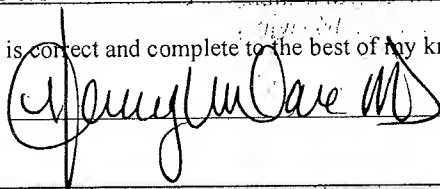
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DWIVEDI

First Name: YOGESH

Title / Rank: ASSOCIATE PROFESSOR

College: MEDICINE

Dept. / Unit: PSYCHIATRY

Appointment 100 %

University Contract Period<sup>1</sup>

☒ 9 months / ☐ 10 months / ☐ 12 months / ☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Yogesh Dwivedi

Date

09/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

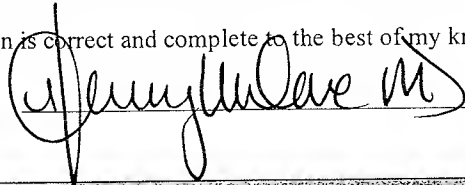


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Farmer  
First Name: Alvin  
Title / Rank: PhD  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 50%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*Alvin Farmer*

Date 10/3/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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2008 - 2009



## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

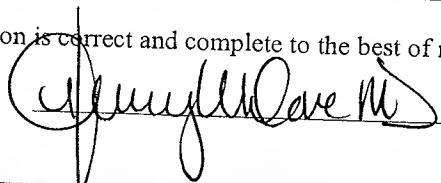
B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNAU)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Fox  
First Name: Geri  
Title / Rank: Professor  
College: COM  
Dept. / Unit: Psychiatry/GME  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

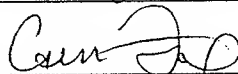
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/23/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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### **Administrative Review and Approval, UIC RNUA 2008-2009**

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#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

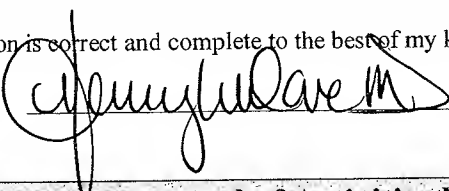
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Gonzalez  
First Name: Raul  
Title / Rank: Asst. Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date 9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

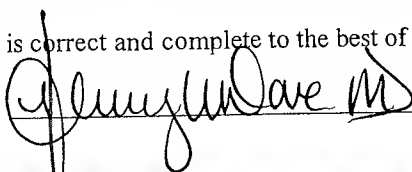


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Gorski  
First Name: Jennifer  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 25%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature [Signature]

Date 10/3/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hanbomer-Coste  
First Name: Ingeborg  
Title / Rank: Visiting Associate Professor  
College: \_\_\_\_\_  
Dept. / Unit: Psychiatry  
Appointment 50 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Ingeborg Hanbomer-Coste Date 09-22-2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

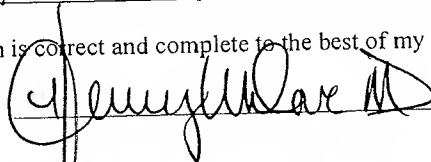


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HARROW  
First Name: MARTIN  
Title / Rank: PROFESSOR  
College: MEDICINE  
Dept. / Unit: PSYCHIATRY  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Martin Harrow

Date 9-23-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

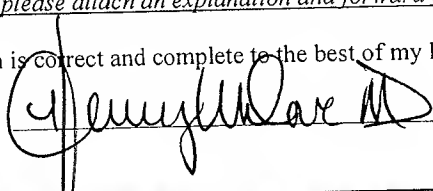


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

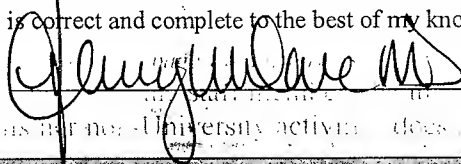
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

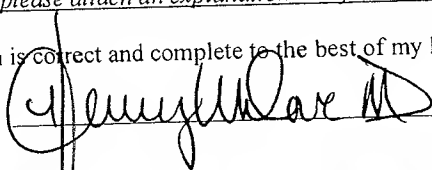
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Herbener  
First Name: Ellen  
Title / Rank: Assistant Professor  
College: Medicine and LAS  
Dept. / Unit: Psychiatry and Psychology  
Appointment 100%  
University Contract Period<sup>1</sup>  
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
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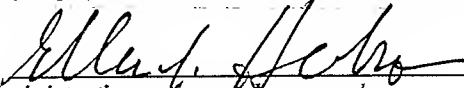
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

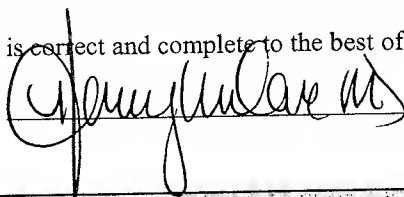
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/11/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hill  
First Name: Carri  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry/IJR  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
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- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Carri Hill

Date

9-22-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

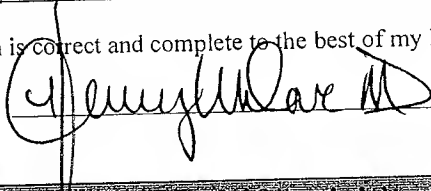
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HILL  
First Name: SCOT  
Title / Rank: RESEARCH ASST PROF  
College: MEDICINE  
Dept. / Unit: PSYCHIATRY  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Scott Hill

Date OCT 01, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

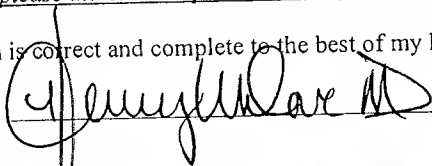
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- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HOFFMAN  
First Name: IRWIN  
Title / Rank: Lecturer  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 20 %  
University Contract Period<sup>1</sup>  
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Irwin Hoffman, Ph.D. Date 10-23-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## **Administrative Review and Approval, UIC RNUA 2008-2009**

*(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).*

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

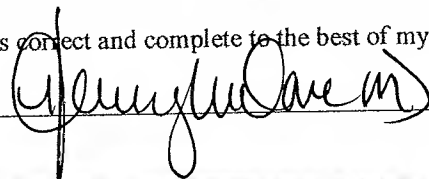


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/29/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hur

First Name: Kwan

Title / Rank: Adj. Assistnat Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 50%

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Kwan Hur*

Date 10/22/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

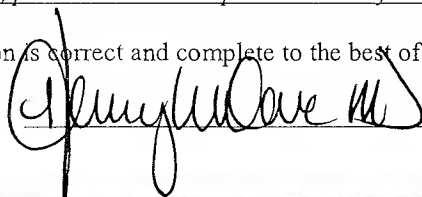
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/23/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Jacob

First Name: Suma

Title / Rank: Asst. Prof.

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date

9/22/08

*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

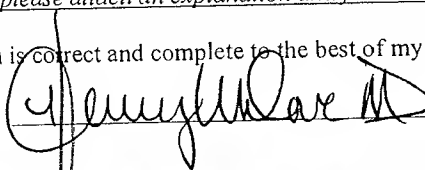


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:

Kaplan

First Name:

Kallman J.

Title / Rank:

Professor

College:

Medicinal

Dept. / Unit:

Psychiatry

Appointment 65%

University Contract Period<sup>1</sup>
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Kallman J. Kaplan

Date

Nov. 5, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

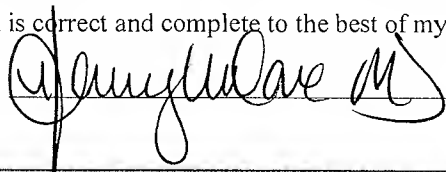


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

11/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Keedy  
First Name: Sarah  
Title / Rank: Research Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

9-25-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

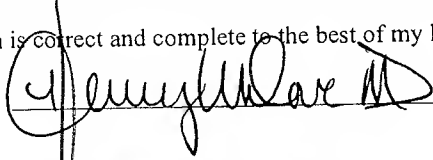
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: KIRKUS  
First Name: MARILYN  
Title / Rank: MD. Assoc. Prof.  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

9/19/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

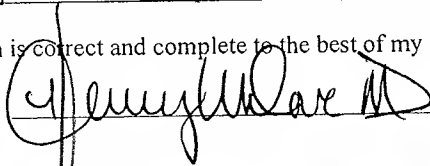
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNAU)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Kwon

First Name: Eugene

Title / Rank: Asst. Prof.

College: Medicine

Dept. / Unit: Psychiatry

Appointment 60 %

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date 10/3/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

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### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

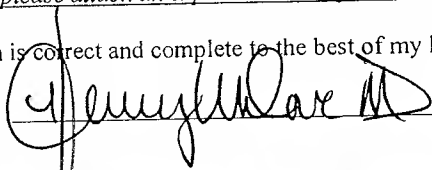


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

### PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Larson

First Name: John

Title / Rank: Associate Professor

College: Medicine

Dept. / Unit: PSYCHIATRY

Appointment 100 %

University Contract Period<sup>1</sup>

☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period
none.				

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

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### Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

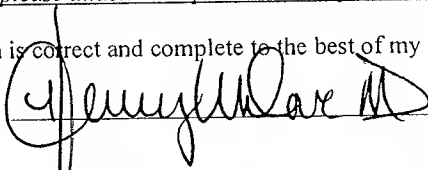
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Loftin

First Name: Rachel

Title / Rank: Assistant Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

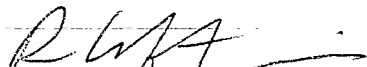
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/26/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

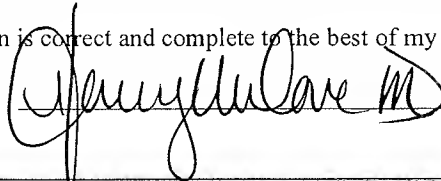


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Heilman  
First Name: Keri  
Title / Rank: Visiting Research Asst Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Keri Hil

Date

7/22/08

**Please submit to your unit head for administrative review and approval.**

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

University of Illinois at Chicago  
Academic Staff  
**2008-2009 Report of  
Non-University Activities (RNAU)**

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Cook  
First Name: Edwin  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry/IJR  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Edwin Cook*

Date

*9/24/2008*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

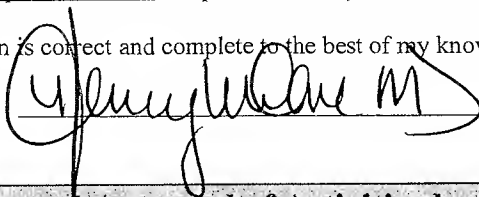
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

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- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☒ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

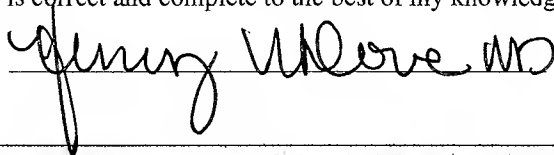
- ☒ No retrospective activities are reported or all retrospective activities are approved.
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B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

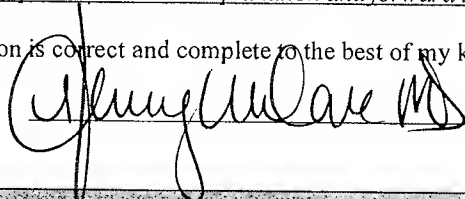


Some or all declared prospective activities are not approved.

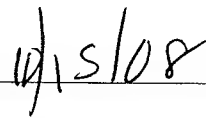
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date



### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Charney  
First Name: Elizabeth  
Title / Rank: MD, Assistant Clinical Professor  
College: UIC  
Dept. / Unit: Psychiatry  
Appointment 80 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period
	Assistant Clinical Professor	Do not have ownership interest in any company or organization.		

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Elizabeth Charney

Date

10/1/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DAVIS  
First Name: John M  
Title / Rank: Professor  
College: Medical  
Dept. / Unit: Psychiatry  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☐ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☐ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☐ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☐ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

John M Davis

Date

08/1/2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

*(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).*

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☐ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

- ☐ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☐ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature \_\_\_\_\_ Date \_\_\_\_\_

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed) \_\_\_\_\_ Date \_\_\_\_\_

Additional Reviews  
(Signatures) \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_ Date \_\_\_\_\_

Print Form

Clear Form

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: ANDERSON  
First Name: TANYA R.  
Title / Rank: ASSOCIATE PROFESSOR  
College: MEDICINE  
Dept. / Unit: PSYCHIATRY  
Appointment: 100%  
University Contract Period<sup>1</sup>  
☐ 9 months ☐ 10 months ☒ 12 months ☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
  2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
  3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
  4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no
- \*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.wpa.uillinois.edu/policies/conflict\\_toc.asp](http://www.wpa.uillinois.edu/policies/conflict_toc.asp)

### Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

*[Signature]*

Date

9/30/08

#### PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Astrachan-Fletcher

First Name: Ellen

Title / Rank: Assistant Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 65 %

University Contract Period<sup>1</sup>

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

**\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.**

### PART II. Listing of Non-University Income Producing Activities

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Ellen Astrachan-Fletcher Date 9/23/08  
Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois State Statutes 500/50-13 prohibits the award of University contracts to companies in which University employees who earn more than 10% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$111,112 as of July 1, 2008)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at <http://www.spa.uic.edu/academic-staff/commitment-interest.htm>

## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

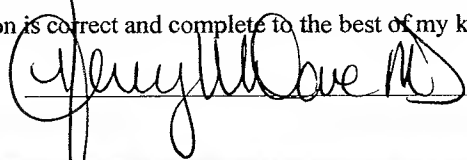
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

9/23/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date



University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Baptiste  
First Name: Donna  
Title / Rank: Associate Professor  
College: Medicine  
Dept. / Unit: Psychiatry IJR  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

*DR Baptiste*

Date

*10-6-08*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on *Conflicts of Commitment and Interest* is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## **Administrative Review and Approval, UIC RNUA 2008-2009**

*(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).*

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

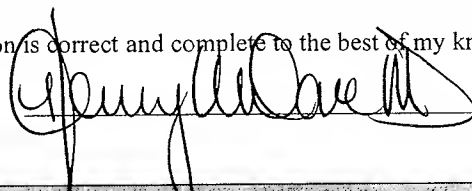
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/6/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: BASLET  
First Name: GASTON  
Title / Rank: ASSIST. PROF. OF CLINICAL PSYCH.  
College: MEDICINE  
Dept. / Unit: PSYCHIATRY  
Appointment 100 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

G. G. Baslet MD Date 9/25/08  
*Please submit to your unit head for administrative review and approval.*

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

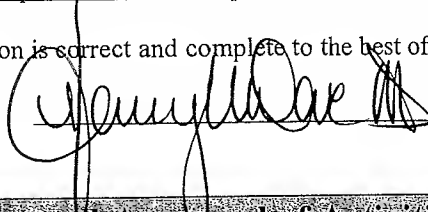


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

Sandra Brady  
Psychiatry HR  
PI MIC 912 Rm 547

Print Form

Clear Form

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

**DISCLOSURE AND  
REQUEST FOR PRIOR  
APPROVAL**

Last Name: Bell MD  
First Name: Carl  
Title / Rank: Clinical Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 20%  
University Contract Period<sup>1</sup>  
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

**PART I. Conflict of Interest Screening**

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

**PART II. Listing of Non-University Income Producing Activities**

\* If your appointment is less than 75% time, you do not need to complete this section.

\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

\* Do not include amounts of compensation.

\* Do not report "various" when reporting retrospective activity.

\* Attach additional sheets if necessary.

Nature of your activities (see instructions for examples)	For whom (e.g., company/organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

**PART III. Affirmation**

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Carl C Bell MD Date 9-22-08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500 50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

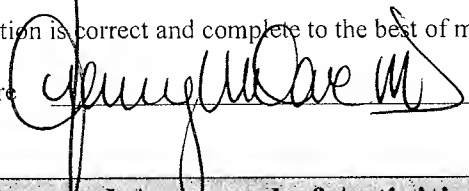


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: BHAUMIK  
First Name: DULAL  
Title / Rank: PROFESSOR  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%

University Contract Period<sup>1</sup>

☒ 9 months / ☐ 10 months / ☐ 12 months / ☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

D. Bhauumik

Date

Sep 23, 2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)



### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

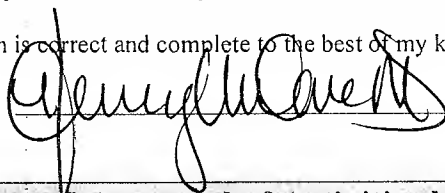
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_



## **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

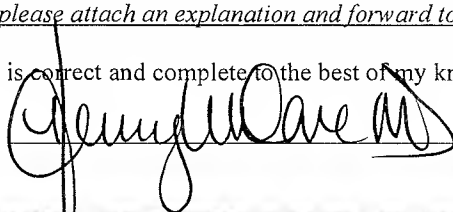


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNAU)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Burke  
First Name: Erin  
Title / Rank: MD  
College: \_\_\_\_\_  
Dept. / Unit: Psychiatry  
Appointment 25 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☐ 12 months/☒ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

*\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.  
\* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.  
\* Do not report "various" when reporting retrospective activity.  
\* Attach additional sheets if necessary

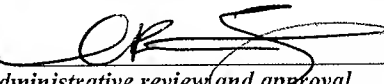
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

10.2.2008

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

### **Administrative Review and Approval, UIC RNUA 2008-2009**

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*
- ☐ A conflict of interest or commitment may exist that warrants further review.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)

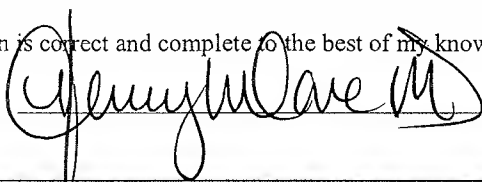
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.  
*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNUA)

# DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Randall  
First Name: Carter  
Title / Rank: Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

## PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

## PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <b>Retrospective</b> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <b>Prospective</b> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

## PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

*Randall Carter*

Date

*9/30/08*

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

<sup>4</sup> The University Policy on Conflicts of Commitment and Interest is available at: [http://www.vpaa.uillinois.edu/policies/conflict\\_toc.asp](http://www.vpaa.uillinois.edu/policies/conflict_toc.asp)

## Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

### **PART IV. Conflict of Interest/Commitment Review** (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

### **PART V. Approval of Activities** (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

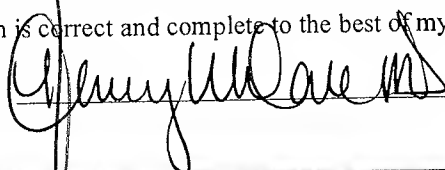


Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date

Additional Reviews  
(Signatures)

Date

Date

University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Carter Porges  
First Name: Carol Sue  
Title / Rank: Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 100%  
University Contract Period<sup>1</sup>  
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Carol Sue Carter Porges Date Sept 21, 2008  
Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago  
Academic Staff  
2008-2009 Report of  
Non-University Activities (RNA)

## DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Brune  
First Name: Camille  
Title / Rank: Vst Research Assistant Professor  
College: Medicine  
Dept. / Unit: Psychiatry  
Appointment 50 %  
University Contract Period<sup>1</sup>  
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

### PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes\* ☒ no
2. Do you or does any member of your family<sup>2</sup> have a managerial role or a significant<sup>3</sup> financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes\* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes\* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes\* ☒ no

\*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

### PART II. Listing of Non-University Income Producing Activities

- \* If your appointment is less than 75% time, you do not need to complete this section.
- \* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- \* Do not include amounts of compensation.
- \* Do not report "various" when reporting retrospective activity.
- \* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

### PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*<sup>4</sup> and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature C. W. J.

Date

9/25/08

Please submit to your unit head for administrative review and approval.

<sup>1</sup> Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

<sup>2</sup> University Policy defines "Family" as one's spouse and children.

<sup>3</sup> Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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### **Administrative Review and Approval, UIC RNUA 2008-2009**

*(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).*

#### **PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)**

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*



A conflict of interest or commitment may exist that warrants further review.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

#### **PART V. Approval of Activities (Please attach a copy of any referenced explanation.)**

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

*If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)*

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

*Jimmy Wallace MD*

Date

*10/27/08*

#### **PART VI. Review and Approval of Activities by Dean and Others as Required.**

Dean/Director/VP Signature  
(If approval needed)

Date \_\_\_\_\_

Additional Reviews  
(Signatures)

Date \_\_\_\_\_

Date \_\_\_\_\_